

OSU~EmEa - 7

Emergy Evaluation Procedure

Evaluation procedure: systems diagram, evaluation table, footnotes, summary diagram, and emergy indices

Energy Evaluation Procedure...

- Energy accounting uses the thermodynamic basis of all forms of energy, materials and human services, but converts them into equivalents of one form of energy.
- Energy accounting is organized as a top down approach where first a system diagram of the process is drawn to organize the evaluation and account for all inputs and outflows.
- Tables of the actual flows of materials, labor and energy are constructed from the diagram and all flows are evaluated.
- The final step of an energy evaluation involves interpreting the quantitative results.

Evaluating Alternatives...

- In some cases, the evaluation is done to determine fitness of a development proposal.
- In others, it may be a question of comparing different alternatives, or
- the evaluation may be seeking the best use of resources to maximize economic vitality.

So the final step in the evaluation is to calculate several energy indices that relate energy flows of the system being evaluated to predict economic viability, carrying capacity, or fitness.

Emergy Synthesis/Analysis

- Emergy evaluations are both synthetic and analytic.
- Analysis is the process of breaking a complex topic or substance into smaller parts to gain a better understanding. Synthesis is the act of combining elements into coherent wholes. emergy synthesis strives for understanding by grasping the parts as well as the wholeness of systems.
- By evaluating complex systems using emergy methods, the major inputs from the human economy and those coming “free” from the environment can be integrated to analyze questions of public policy and environmental management holistically

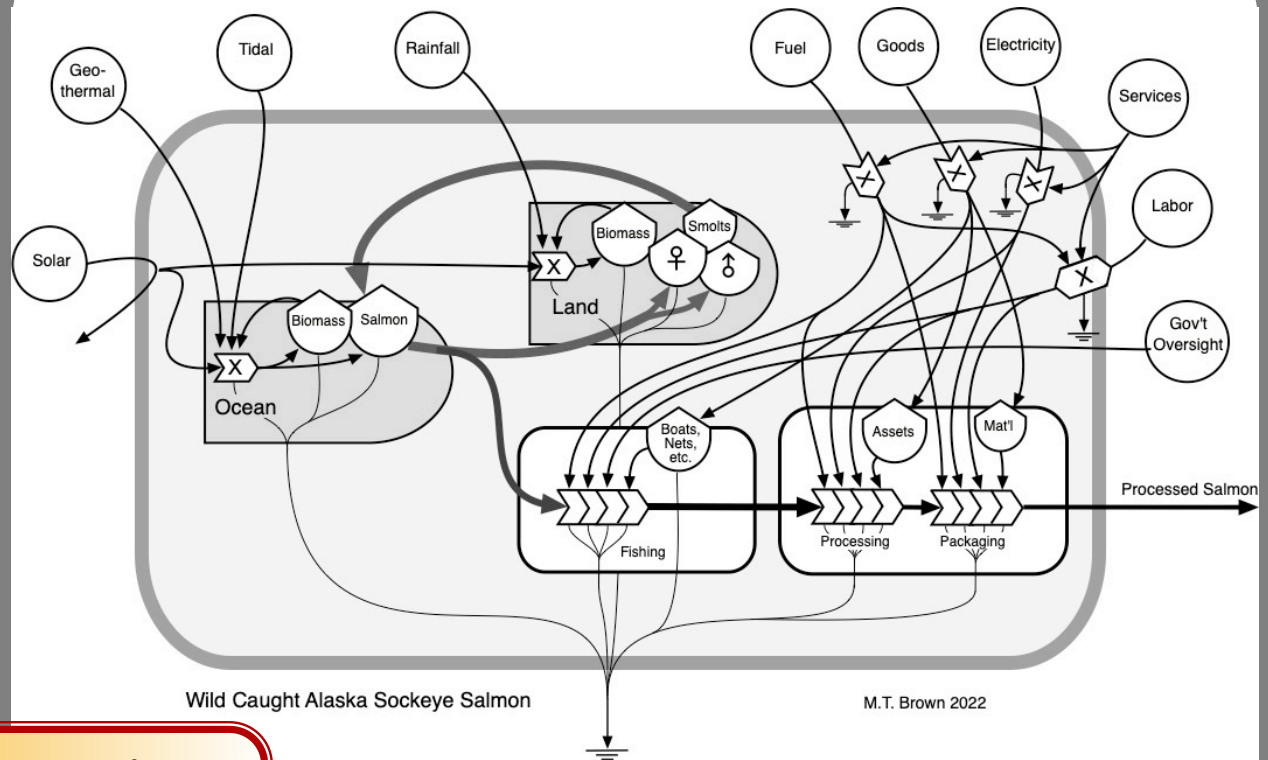
1. Left-right Energy Systems Diagram

Systems diagrams are used to show the inputs that are evaluated and summed to obtain the emergy of a resulting flow or storage.

The purpose of the system diagram is to conduct a critical inventory of processes, storages and flows that are important to the system under consideration and are therefore necessary to evaluate.

Energy Evaluation Procedure...

Example systems diagram...



System diagram of the process is drawn to organize the evaluation and account for all inputs and outflows.

2. Preparation of an Energy Evaluation Table

- Tables of the actual flows of materials, labor and energy are constructed from the diagram.
- Raw data on flows and storage reserves are converted into energy units, and then summed for a total energy flow to the system.

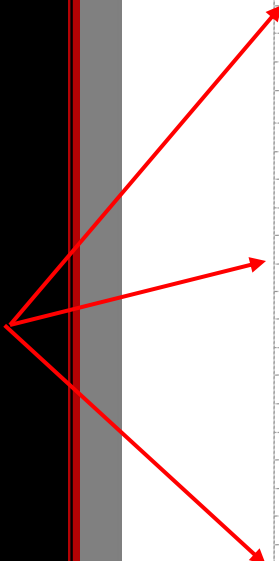
Energy Evaluation Procedure...

Example energy evaluation table...

Table 2. Energy of inputs to produce 1 kg of sockeye salmon at each phase

	Item	Units	Quantity	UEV (sej/unit)	Energy (E12 sej)
Fishing phase					
1	Environmental Support (land)	J	2.3E+09	1.20E+04	27.96
2	Environmental Support (ocean)	m2	1.28E+03	2.71E+10	34.68
3	Monitoring Activities (diesel)	kg	4.69E-03	7.26E+12	0.03
4	Drift Net & Tender Vessels	kg	1.68E-02	2.40E+13	0.40
5	Diesel Fuel	kg	1.66E-01	7.26E+12	1.21
6	Labor (Air transport-jet fuel)	kg	1.25E-02	7.40E+12	0.09
7	Labor	(p*hrs)	6.36E-02	1.00E+14	6.36
8	Output (salmon, live weight)	kg	1.0	7.07E+13	70.74
	Transformity	J	1.0	6.76E+06	
Processing phase					
9	Landed sockeye salmon	kg	1.72	7.07E+13	121.67
10	Building (Steel & Concrete)	m2	9.61E-05	1.4E+16	1.35
11	Machinery	kg	1.25E-03	2.39E+13	0.03
12	Water	m3	1.82E-02	6.61E+11	0.01
13	Diesel	kg	1.30E-01	7.26E+12	0.94
14	Electricity (USA)	kWh	1.20E-01	1.80E+12	0.22
15	Labor (Air transport-jet fuel)	p*km	1.87E-03	7.40E+12	0.01
16	Labor	p*hrs	6.69E-02	1.00E+14	6.69
17	Output processed product	kg	1	1.31E+14	130.92
	Transformity	J	1.0	1.25E+07	
Packaging phase					
18	Average salmon product	kg	1.00E+00	1.31E+14	130.92
19	Ship transport	ton*km	2.85E-01	1.20E+10	0.00
20	Plastic	kg	1.12E-02	9.66E+12	0.11
21	Cardboard	kg	2.30E-02	7.00E+12	0.16
22	Metal sheet for cans	kg	1.10E-01	6.90E+13	7.59
23	Pallets (Wood)	kg	2.94E-02	2.89E+11	0.01
24	Output Salmon product	kg	1.00E+00	1.39E+14	138.79
	Transformity	J	1.0	1.33E+07	

Note footnote numbers.



Energy Evaluation Procedure...

Notes to Table 2				
1	Environmental support (land)			
	Rainfall	800	mm	USEPA, 2014
	Watershed area	1.16E+11	m ²	USEPA, 2015
	Density water	1000	kg m ⁻³	
	Gibbs energy of rain	4.72E+03	J/kg	
	Time rearing	2	yrs	USEPA, 2014
	Number of smolt =	1.88E+08	ind	
	Energy =	0.8m rainfall * 1.16E11 m ² * 1000 kg m ⁻³ * 4.72 E3 J kg ⁻¹ * 2yrs / 1.88E8 ind		
	=	2.33E+09	J	
	Solar transformity rainfall =	1.20E+04	sej/J	Brown and Ulgiati, 2016
2	Environmental Support (ocean)			
	Energy input to ocean			
	Sunlight =	7.42E+13	J/ha	Lee and Brown, 2021
	Geothermal =	2.057E+10	J/ha	Lee and Brown, 2021
	Tidal =	3.104E+09	J/ha	Lee and Brown, 2021
	Solar transformities ocean inputs			
	Sunlight =	1	sej/J	Brown et al. ,2016
	Geothermal =	4900	sej/J	Brown et al. ,2016
	Tidal =	30900	sej/J	Brown et al. ,2016
	Emergy input to ocean =	Sunlight * solar Tr + Geothermal energy * geothermal Tr + Tidal energy * tidal Tr		
	=	(7.35 E13 J ha ⁻¹ * 1.0 sej J ⁻¹ + 2.06 E10 J ha ⁻¹ * 4900 sej J ⁻¹ + 3.1 E09 J ha ⁻¹ * 30900 sej J ⁻¹) / 10,000 m ² ha ⁻¹		
	=	2.71E+10	sej m ⁻²	
	Arctic ocean NPP =	214	mg C m ⁻² day ⁻¹	Westbury, 2015
	=	78.11	g C m ⁻² yr ⁻¹	
	Average salmon mass =	1.0	kg C	half of landed mass
	Trophic efficiency	1.0%		estimate
	NPP required to support 1 kgC fish =	1000gC fish / 1.0% efficiency		
	=	1.00E+05	gC yr ⁻¹	
	Ocean area required =	NPP required/Arctic Ocean NPP		
	=	1.00 E5 gC yr ⁻¹ / 78.11 gC m ⁻² yr ⁻¹		
	=	1.28E+03	m ²	
3	Monitoring Activities (Diesel)			
	Diesel fuel =	4.69E-03	kg	Table 1
	Specific Energy=	7.26E+12	sej kg ⁻¹	Brown and Ulgiati, 2011
4	Drift Net & Tender Vessels			
	Quantity of boats & gear =	1.68E-02	kg	Table 1

Every line item has a note to calculations and data sources

Energy Evaluation Procedure...

	Specific Energy=	2.40E+13	sej kg ⁻¹	See supplemental material
5	Diesel Fuel	1.66E-01	kg	Table 1
	Specific Energy=	7.26E+12	sej kg ⁻¹	Brown and Ulgiati, 2011
6	Labor (Air transport-jet fuel)			
	passenger * km =	4.48E-01	p *km	Table 1
	Fuel use=	0.035	l p*km ⁻¹	EcoInvent
	density jet fuel =	0.8	kg l ⁻¹	
	energy intensity jet fuel =	4.82E+07	J kg ⁻¹	
	=	4.48e-01 p km ⁻¹ * 0.035l p km ⁻¹ * 0.8kg l ⁻¹		
	=	1.25E-02	kg	
	Unit energy value =	7.40E+12	sej kg ⁻¹	Brown and Ulgiati, 2011
7	Labor	6.36E-02	p*hrs	Table 1
	Unit Energy Value (UEV)=	1.00E+14	sej p*h ⁻¹	See supplemental material
8	Output (Sockeye salmon, live weight)	1.0	kg	
	Specific Energy =	7.07E+13	sej kg ⁻¹ sum of emergy inputs to fishing phase	
	Transformity =	7.07 E13 sej kg ⁻¹ * 1000g kg ⁻¹ * 2.5 Cal g ⁻¹ * 4186 J Cal ⁻¹		
	=	6.76E+06	sej/J	
9	Landed sockeye salmon	1.72	kg	1 kg of finished product requires 1.72 kg of landed salmon
	Specific Energy =	7.07E+13	sej kg ⁻¹	Item 8
10	Building (Steel & Concrete)	9.61E-05	m ²	Table 1
	Unit energy value =	1.4E+16	sej m ⁻²	See supplemental material
11	Machinery	1.25E-03	kg	Table 1
	Specific Energy =	2.39E+13	sej kg ⁻¹	See supplemental material
12	Water	1.82E-02	m ³	Table 1
	Unit energy value =	6.61E+11	sej m ⁻³	Brown and Ulgiati, 2016
13	Diesel	1.73E-01	kg	Table 1
	Specific Energy =	7.26E+12	sej kg ⁻¹	Brown and Ulgiati, 2011
14	Electricity (USA)	3.51E-01	kWh	Table 1
	Unit energy value =	1.80E+12	sej kWh ⁻¹	See supplemental material
15	Labor (Air transport-jet fuel)			
	passenger * km =	6.69E-02	p*km	Table 1
	Fuel use=	0.035	l p*km ⁻¹	EcoInvent
	density jet fuel =	0.8	kg l ⁻¹	
	energy =	6.69 E-02 p*km * 0.035 l p*km ⁻¹ * 0.8 kg l ⁻¹		
	=	1.87E-03	J	
	Specific Energy =	7.40E+12	sej kg ⁻¹	Brown and Ulgiati, 2011
16	Labor	6.69E-02	p*hrs	Table 1
	Unit Energy Value (UEV)=	1.00E+14	sej p*h ⁻¹	See supplemental material
17	Output processed product (average)	1.00	kg	
	Specific Energy =	1.31E+14	sej kg ⁻¹ sum of emergy inputs to proc. phase	

Energy Evaluation Procedure...

	Transformity =	1.31 E14 sej kg ⁻¹ * 1000g kg ⁻¹ * 2.5 Cal g ⁻¹ * 4186 J Cal ⁻¹		
	=	1.25E+07	sej/J	
18	Average Salmon Product (kg)	1.00	kg	
	Specific Emergy =	1.31E+14	sej kg ⁻¹	Item 17
19	Ship transport (Packaging)	2.85E-01	ton*km	Table 1
	Unit Emergy Value (UEV)=	1.20E+10	sej t*km ⁻¹	See supplemental material
20	Plastic	1.12E-02	kg	Table 1
	Specific emergy =	9.66E+12	sej kg ⁻¹	See supplemental material
21	Cardboard	2.43E-02	kg	Table 1
	Specific emergy =	7.00E+12	sej kg ⁻¹	See supplemental material
22	Metal sheet for cans	0.11	kg	Table 1
	Specific emergy =	6.90E+13	sej kg ⁻¹	See supplemental material
23	Pallets (Wood)	2.94E-02	kg	Table 1
	Specific emergy =	2.89E+11	sej kg ⁻¹	See supplemental material
24	Average Salmon Product (kg)	1.00	kg	
	Specific emergy =	1.39E+14	sej kg ⁻¹ sum of emergy inputs to packaging phase	
	Transformity =	1.39E14 sej kg ⁻¹ * 1000g kg ⁻¹ * 2.5 Cal g ⁻¹ * 4186 J Cal ⁻¹		
	=	1.33E+07	sej/J	

3. Energy of Storages

- When calculating the energy of stored quantities (storages), it is necessary to sum the energy of each of the inputs for the time of its contribution.
- Input energy inflows are multiplied by the time it takes to accumulate the storage.

4. Evaluations Based on Averaged Inputs

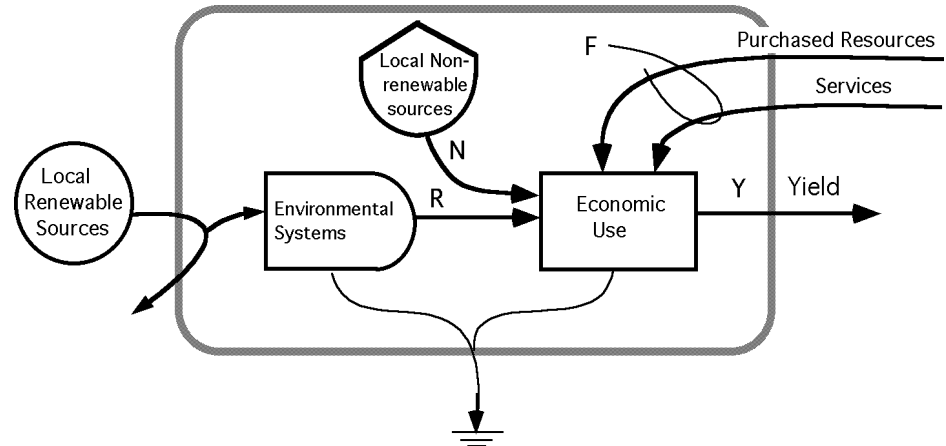
- All systems pulse... with time intervals and pulse strength that increase with scale.
- To evaluate a process on one scale of time and space usually means using averages for each of the inputs from smaller scales where pulses are of high frequency.
- For example, for an evaluation of phenomena on the scale of human economy, yearly averages are often appropriate.

5. Calculating Unit Energy Values (UEVs)

- After an evaluation table is prepared, UEVs of products can be calculated.
- The output or product is evaluated first in units of energy or mass...
- Then the input energy in the last column is summed and the UEV for the product is calculated by dividing the energy by the units of the output.
- The UEV that results is useful for other energy evaluations.

6. Performance Indicators

The systems diagram below shows non-renewable environmental contributions (N) as an energy storage of materials, renewable environmental inputs (R), and inputs from the economy as purchased (F) goods and services.



$$\text{Yield (Y)} = R + N + F$$

$$\text{Energy Yield Ratio} = Y / F$$

$$\text{Energy Investment Ratio} = F / (R + N)$$

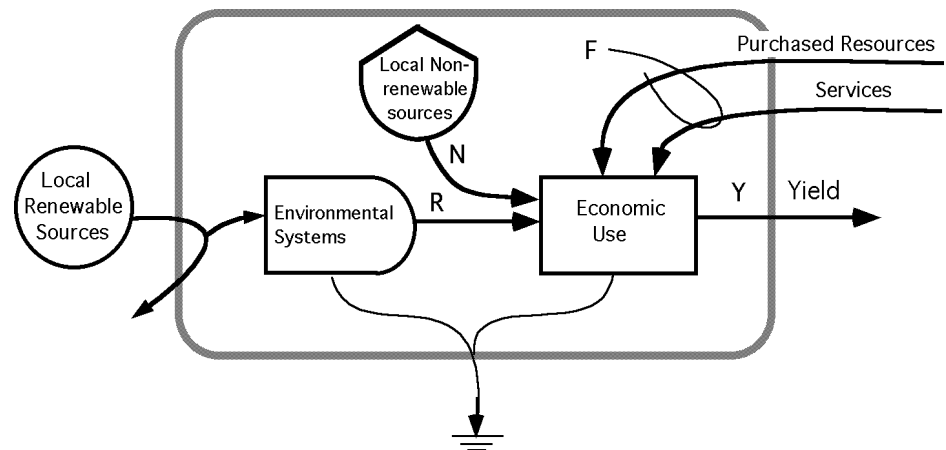
$$\text{Environmental Loading Ratio} = (F + N) / R$$

$$\text{Empower Density} = (R + N + F) / \text{area}$$

Energy Evaluation Procedure...

Several ratios, or indices are given in the figure that are used to evaluate the global performance of a process as follows:

Energy yield ratio. The ratio of the energy yield from a process to the energy costs. The ratio is a measure of how much energy is provided by a process for a given energy expenditure.



$$\text{Yield (Y)} = R+N+F$$

$$\text{Energy Yield Ratio} = Y/F$$

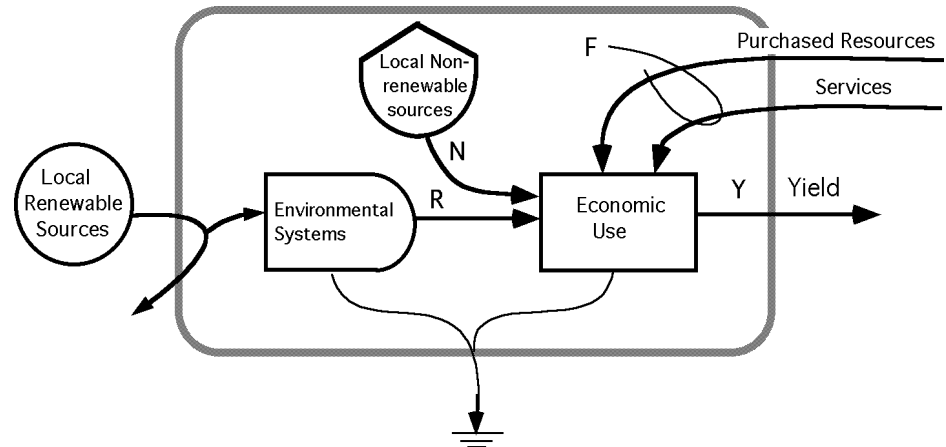
$$\text{Energy Investment Ratio} = F/(R+N)$$

$$\text{Environmental Loading Ratio} = (F+N)/R$$

$$\text{Empower Density} = (R+N+F)/\text{area}$$

Energy Evaluation Procedure...

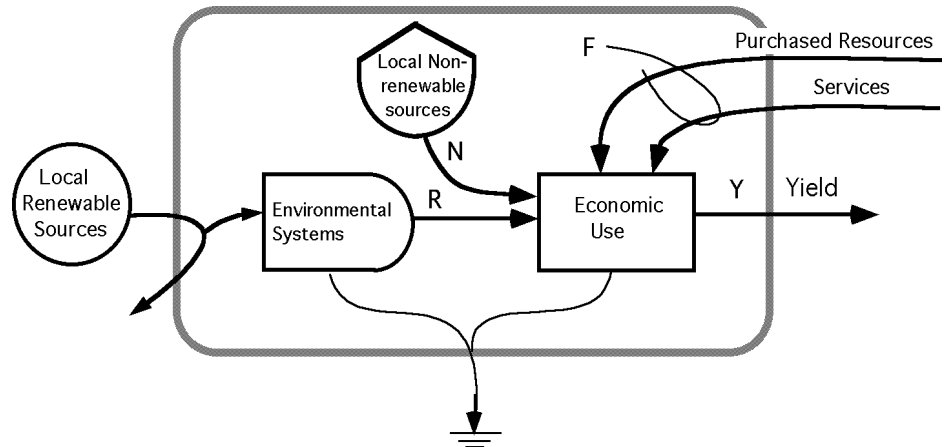
Environmental loading ratio. The ratio of nonrenewable and imported energy use to renewable energy use. It is an indicator of the pressure of a transformation process on the environment and can be considered a measure of ecosystem stress due to a production (transformation activity).



$$\begin{aligned} \text{Yield (Y)} &= R+N+F \\ \text{Energy Yield Ratio} &= Y/F \\ \text{Energy Investment Ratio} &= F/(R+N) \\ \text{Environmental Loading Ratio} &= (F+N)/R \\ \text{Empower Density} &= (R+N+F)/\text{area} \end{aligned}$$

Energy Evaluation Procedure...

Emergy Sustainability Index. The ratio of the Emergy Yield Ratio to the Environmental Loading Ratio. It measures the contribution of a resource or process to the economy per unit of environmental loading.



$$\text{Yield (Y)} = R + N + F$$

$$\text{Emergy Yield Ratio} = Y / F$$

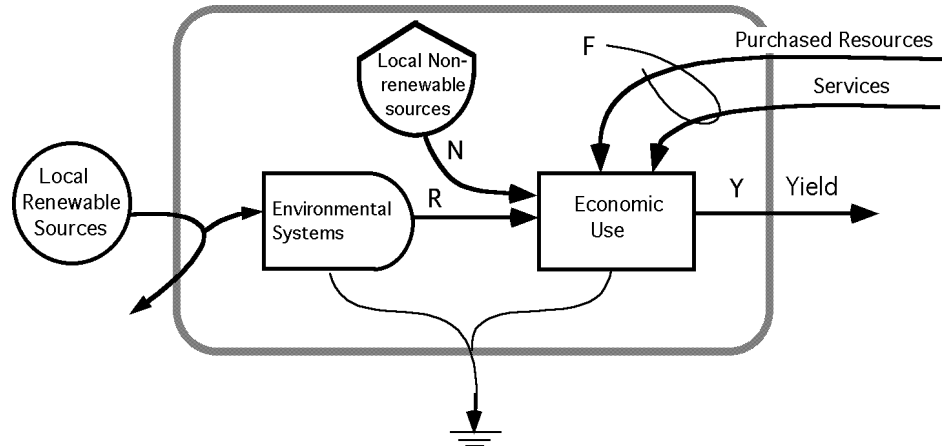
$$\text{Emergy Investment Ratio} = F / (R + N)$$

$$\text{Environmental Loading Ratio} = (F + N) / R$$

$$\text{Empower Density} = (R + N + F) / \text{area}$$

Energy Evaluation Procedure...

Energy Investment ratio. The ratio of energy fed back from outside a system to the indigenous energy inputs (both renewable and non-renewable). It evaluates if a process is a good user of the energy that is invested, in comparison with alternatives.



$$\text{Yield (Y)} = R + N + F$$

$$\text{Energy Yield Ratio} = Y / F$$

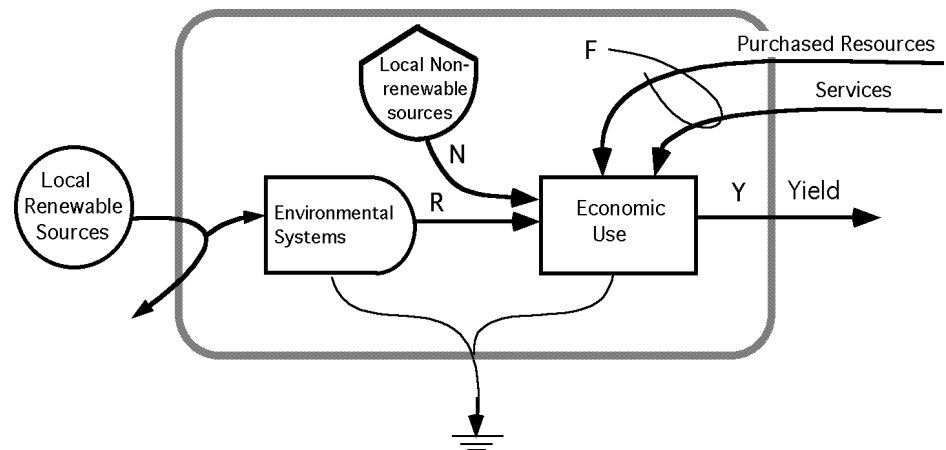
$$\text{Energy Investment Ratio} = F / (R + N)$$

$$\text{Environmental Loading Ratio} = (F + N) / R$$

$$\text{Empower Density} = (R + N + F) / \text{area}$$

Energy Evaluation Procedure...

Empower density. The ratio of total emergy use in the economy of a region or nation to the total area of the region or nation. Renewable and nonrenewable emergy density are also calculated separately by dividing the total renewable emergy by area and the total nonrenewable emergy by area, respectively.



$$\text{Yield (Y)} = R + N + F$$

$$\text{Emergy Yield Ratio} = Y / F$$

$$\text{Emergy Investment Ratio} = F / (R + N)$$

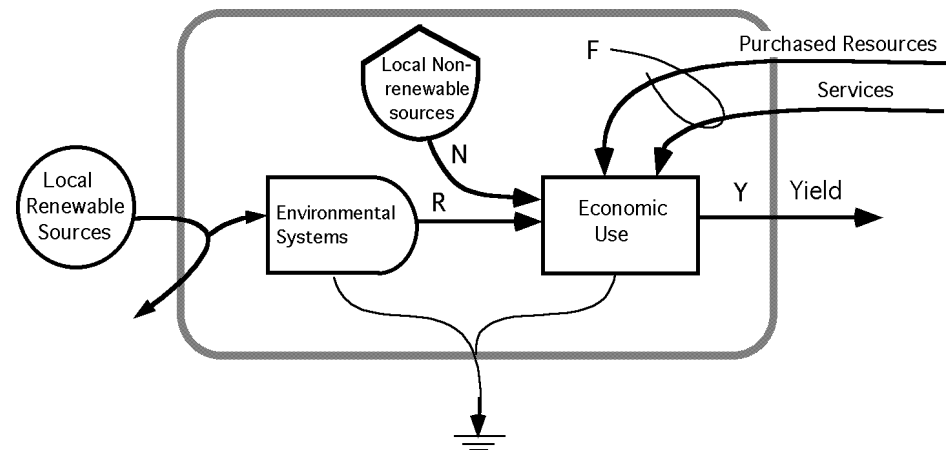
$$\text{Environmental Loading Ratio} = (F + N) / R$$

$$\text{Empower Density} = (R + N + F) / \text{area}$$

Energy Evaluation Procedure...

Several other ratios are sometimes calculated depending on the type and scale of the system being evaluated...

Percent renewable energy (%Ren). The ratio of renewable energy to total energy use. In the long run, only processes with high %Ren are sustainable.



$$\text{Yield (Y)} = R + N + F$$

$$\text{Energy Yield Ratio} = Y / F$$

$$\text{Energy Investment Ratio} = F / (R + N)$$

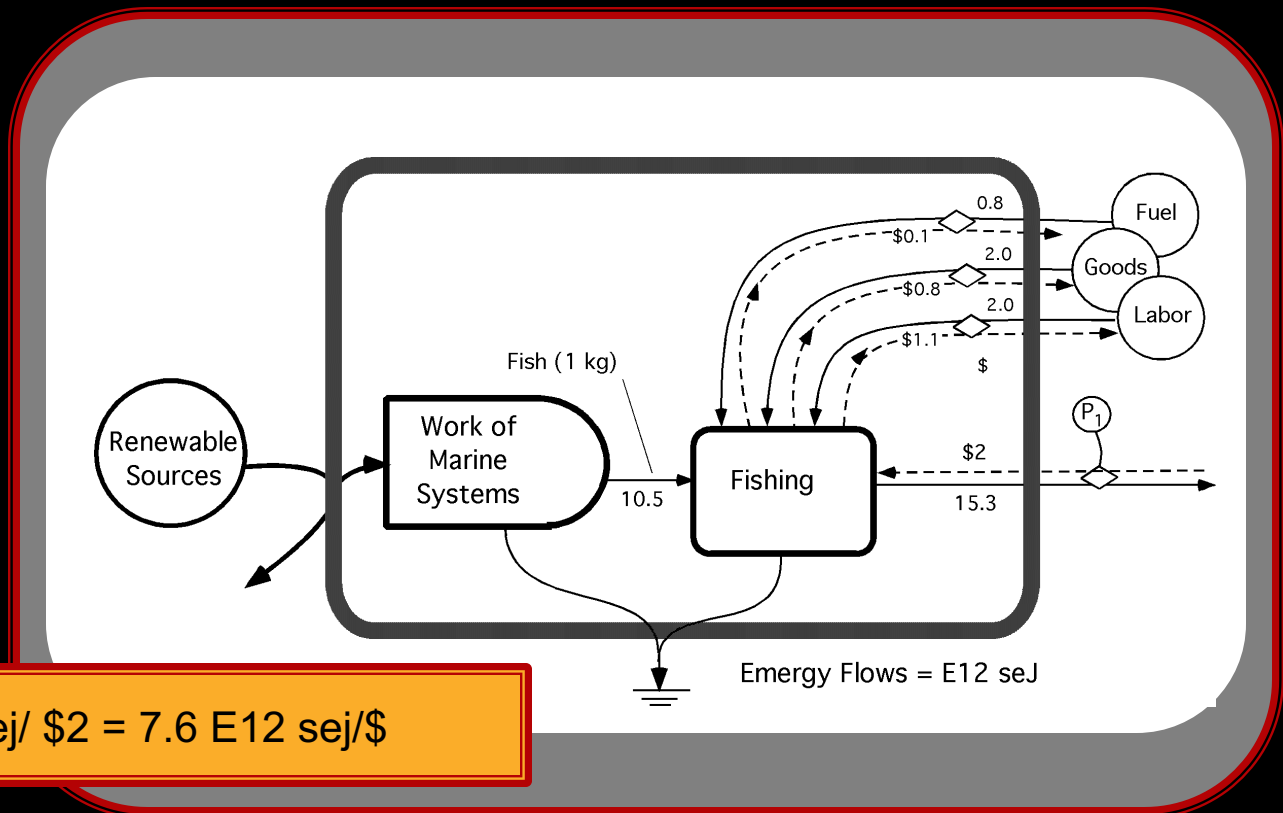
$$\text{Environmental Loading Ratio} = (F + N) / R$$

$$\text{Empower Density} = (R + N + F) / \text{area}$$

Emergy Evaluation Procedure...

Emprice. The emprice of a commodity is the emergy one receives for the money spent.

Its units are sej/currency.



$$\text{Emprice} = 15.3 \text{ E12 sej} / \$2 = 7.6 \text{ E12 sej}/\$$$

Questions...